# MUFG Pension & Market Services Privacy Policy

**JANUARY 2025** 



Document Name:	MUFG Pension & Market Services Privacy Policy
Policy Category:	Public
Approver:	Enterprise Risk & Compliance Committee
Executive Sponsor:	Chief Risk Officer
Policy Owner (Author):	Chief Risk Officer
Approval Date:	23 Jan 2025
Review Frequency:	Every two years unless required more frequently
Last Review Date:	Jan 2025
Next Review Date	Jan 2027
Contact for questions:	Group Risk and Compliance

#### Who is MUFG Pension & Market Services

MUFG Pension & Market Services is a market-leading financial administrator that connects people with their assets. We provide technology-enabled administration solutions and related services across multiple asset classes including equities, managed funds, and products such as pension and superannuation.

Since inception, MUFG Pension & Market Services has been entrusted by clients to handle commercial and personal data in a secure and confidential manner. A full listing of our business unit websites by Division is shown in Schedule A.

This document serves as a Privacy Policy and Privacy Notice that covers all MUFG Pension & Market Services subsidiaries. In this Policy, references to **we, us** or **our** are references to MUFG Pension & Market Services.

For the purposes of this Privacy Policy, 'processing' means any operation or set of operations which is performed on your personal information whether or not by automatic means, such as collection, recording, organisation, structuring, storage, adaption or alteration, retrieval, consultation, use, disclosure by transmission, restriction, erasure or destruction.

#### 1. What is this policy?

- 1.1. We may collect and process Personal Information (defined below) about you and we are committed to protecting this Personal Information and your privacy. This Policy explains how we collect, process use and safeguard your Personal Information. In most jurisdictions where we operate, the protections set out in this Policy also apply to the Personal Information of our employees provided by those employees to us.
- 1.2. The purpose of the Policy is to provide individuals and organisations with transparency about our collection, usage, maintenance, storage and destruction of Personal Information (which terms are often described as "processing").
- 1.3. The key principles of integrity, openness, transparency, and respect for the rights of individuals are all elements of this Policy which apply to all of our business units globally.

#### 2. Why do we need this policy?

- 2.1. This Policy sets out the minimum requirements for all our businesses and their employees for the confidentiality, security, integrity, and protection of Personal Information.
- 2.2. Where a jurisdiction in which we operate has regulatory or governance obligations over and above these Policy minimums, for that jurisdiction these additional requirements are included in Schedule B.
- 2.3. Specific jurisdictional Privacy Notices, Statements and Policies are also available on our

dedicated company websites.

#### 3. What personal information do we collect and process?

- 3.1. Personal Information is information that relates to an identified or identifiable individual. Personal Information should only be collected and processed as is necessary for the purpose for which it was collected or obtained. MUFG Pension & Market Services will only collect and process Personal Information about you that is necessary to provide you with the products and services that you have requested.
- 3.2. The information we collect and process depends on the nature of our relationship with you. **Personal Information** we may collect and process includes:
  - Contact and Account information this includes your name, address, contact information, account number and other account information (e.g. relationships with other individuals);
  - Financial and transactional information this includes payments, trades, payment card details, bank account information, tax residency information, details of income and assets, mortgages, investments and superannuation and pensions contributions and values;
  - **Product information** information about the products and services we provide to you including unique reference numbers;
  - **Socio-demographic information** this includes your gender identity, employment details and education;
  - **Biometric information** this relates to people's physical or behavioural features. For example, a person's face, fingerprints, and voice;
  - **Communications** this includes personal information contained in letters, emails, phone calls or any other communications between us;
  - **Technical and usage** this includes details of the devices and technology you use including internet protocol (IP) address, cookies, pixels, and other tracking technology;
  - Behavioural this includes details about how you use our products and services;
  - Documentary/identity information this includes information stored in documents such as a passport, driving licence or birth certificate, in addition to national identifiers and tax numbers;
  - Marketing and communication preferences;
  - Authentication data this includes usernames, passwords and any information required to access our products and services;
  - **Open data and public records** this can include information from the Electoral Register, and information that is publicly available on the internet;
  - Information about criminal convictions and offences this can include information obtained when carrying out anti-fraud or anti money laundering checks, or other background screening checks (in some cases, this will be "Sensitive Personal Information", or information which otherwise attracts special rights and protections); and

- Sensitive Personal Information in certain limited circumstances, we may request or receive Personal Information which is sensitive in nature. We will only collect and process Sensitive Personal Information where the law allows us to do so. Sensitive Personal Information can include information relating to your health, genetic or biometric data, sexual orientation, racial or ethnic origin, political opinions, religious or philosophical beliefs, and trade union membership. It also includes criminal offence data, including information about criminal activity, allegations.
- Artificial Intelligence Privacy obligations also apply to any personal information input into an AI tool as well as any output data generated or inferred by an AI tool where it contains personal information. This includes personal information entered into AI tools such as chatbots.

#### 4. Where do we collect and process your Personal Information from?

- 4.1. We may collect and process Personal Information directly from you:
  - via an application or various event registration forms;
  - through feedback forms received at various forums;
  - when you purchase any of our products and services or our clients' products and services;
  - when you complete a survey, or vote in a poll on our websites;
  - via our telephone calls with you, which may be recorded;
  - when you provide your details to us either online, via a chatbot, or in paper form;
  - when you communicate with us via email or other communication channels; or
  - via cookies (see section 10 of this Policy for further information).
- 4.2. We may also collect and process Personal Information about you internally, between MUFG Pension & Market Services entities, and from external organisations and third parties, including:
  - Government agencies, authorities, regulators, and official bodies (for example, when accessing government probate records);
  - Law enforcement and fraud prevention agencies;
  - People linked to you, such as joint account holders, trustees or an individual you have authorised to act on your behalf;
  - Your legal representative or financial advisor, authorised to act on your behalf;
  - Identity verification and credit reference agencies;
  - Other financial services companies (for example, banks) when permitted by local law;
  - Public information and open sources such as the Electoral Register, Companies House, internet searches, and information that is publicly available;
  - Vendors (sub-contractors) engaged by us to perform various services. These may include mail houses, auditors, lawyers, suppliers etc. Securities exchanges;

- Organisations that introduce you to us, for example brokers;
- Your employer (past and current);
- Third parties in connection with any merger or acquisition of a business by us;
- Other companies, either because you ask us to or because of features of the product or service you have with us; and
- Third party registers maintained by regulators such as those listed in Schedule D.

#### 5. Why do we collect and process your Personal Information?

- 5.1. We will only collect and process your Personal Information in accordance with applicable data privacy laws.
- 5.2. You do not have to provide us with Personal Information but if you do not, we may not be able to provide you with products or services.
- 5.3. The basis for processing your Personal Information may vary according to the nature of the relationship we have with you or the MUFG Pension & Market Services product or service that you hold. For example:
  - If you are an employee or a customer of a firm with whom we have a business relationship, we may be required to process your Personal Information as part of our contractual obligations and in the normal course of doing business with your firm; or
  - If you are a user of our website, we may process your Personal Information which is contained in cookies, to track the use of our website.
- 5.4. In certain limited circumstances we may process Sensitive Personal Information (defined in Section 3.2 of this Policy). Where this occurs, we will always seek your consent to process this type of Personal Information, unless the processing is allowed under law.

#### 6. With whom do we share Personal Information?

- 6.1. We will only disclose your Personal Information in accordance with applicable laws and regulations. In some instances, this will include sharing your Personal Information with third parties such as:
  - Any member of MUFG Pension & Market Services, which includes our ultimate holding company and its subsidiaries (from time to time), as necessary to provide services to you;
  - Government agencies, authorities, regulators and official bodies (for example, a privacy or data protection regulator or other relevant government department where reasonably necessary for financial crime and sanction compliance purposes);
  - Law enforcement and fraud prevention agencies (for example, the police or another related authority that may require disclosure on legal grounds);
  - People linked with you, such as joint account holders, trustees or another person you have authorised to act on your behalf;
  - Your legal representative or financial advisor, authorised to act on your behalf;

- Identity verification services and credit reference agencies
- Other financial services companies (for example, banks);
- Companies we work with such as sub-contractors, suppliers, and consultants, engaged by us to assist us in providing services to you (for example, cloud storage providers, mail-houses, IT system suppliers, auditors, lawyers, marketing agencies, document management providers, tax advisers and investment product and service administrators);
- Third parties in connection with any merger or acquisition of a business by us; and
- Other companies, either because you ask us to or because of features of the product or service you have with us.

#### 7. International Processing

- 7.1. Your Personal Information may be transferred to other countries, which may not have similar privacy or data protection laws as the jurisdiction of MUFG which you provided the Personal Information and may in certain circumstances compel the disclosure of personal information to a third party such as an overseas authority for the purpose of complying with foreign law or regulatory requirements.
  - We will ensure that appropriate safeguards are in place e.g. We will only disclose Personal Information overseas to the extent it is necessary to perform our functions or activities.
  - Personal information may potentially be transferred to: New Zealand, Australia, India, Papua New Guinea, South Africa, Canada, the United States, the United Kingdom, France, Luxembourg, China [Hong Kong], Germany, Philippines, Singapore and United Arab Emirate

If you would like further information on the safeguards we use, please contact the relevant Privacy/Data Protection Officer

#### 8. How do we keep your Personal Information secure?

- 8.1. We store Personal Information in secure databases and in secure cloud environments. We take appropriate security measures to protect Personal Information from misuse, interference, and loss, as well as unauthorised access, modification or disclosure.
- 8.2. We take protection of your Personal Information and our system security very seriously. Any Personal Information that is collected, processed, or stored will have appropriate safeguards applied in line with our data protection obligations. We have also designed and implemented controls to minimise loss of, or damage to, your Personal Information by human error, negligence or malicious intent and engage internal and external auditors to conduct regular, independent assurance exercises across our business to ascertain the effectiveness of our security control environment and our security strategy.
- 8.3. Our employees also protect your Personal Information whenever they are processing it and must undergo regular training on privacy and data protection requirements.
- 8.4. Our security controls are aligned to industry standards and good practice; providing a control environment that effectively manages risks to the confidentiality, integrity and

availability of your Personal Information.

8.5. All exchanges of Personal Information between you and our websites go through encrypted channels to prevent interception of your Personal Information. Public access to your Personal Information via our websites or any web-hosted platform is protected by a login using a personalised user ID and password. You should ensure that your user ID and password are kept secret and not divulged to other people. As our websites are linked to the internet, and the internet is inherently insecure, we cannot guarantee the Personal Information you supply will not be intercepted while being transmitted over the internet. Therefore, your use of our websites is entirely at your own risk. Accordingly, we accept no responsibility or liability for the security of Personal Information transmitted by you through our websites.

#### 9. How long do we retain your Personal Information?

- 9.1. We generally retain your Personal Information on our systems for as long as is necessary to provide our services to you. The length of time we retain your Personal Information will depend on the services we provide to you and the applicable regulatory requirements for your jurisdiction. Our websites use Google Analytics where a record of some of your Personal Information (e.g. IP address) may be retained for up to 38 months depending on your jurisdiction.
- 9.2. We typically retain Personal Information for up to a maximum retention period of 10 years from the date you cease to use our services and products and do not have any other dependencies such as other investments that use a common identifier or your unpaid dividends, and this period may vary depending on the jurisdiction where your Personal Information is held. In some cases, where there may be a dispute or a legal action, we may be required to retain your Personal Information for a longer period.
- 9.3. When your personal information is no longer required, we will take steps to delete, destroy, or de-identify your Personal Information. Where we can de-identify your Personal Information, by for example removing your name, exact dates of birth or death and exact addresses so that it can no longer be associated with you, we may retain that information indefinitely without notice to you.

#### **10. Your rights**

- 10.1. The privacy legislation in each jurisdiction grants individuals certain legal rights with respect to their Personal Information. No matter which country you live in, you have the following rights in relation to how MUFG Pension & Market Services processes your Personal Information.
  - **Right to lodge a complaint** You have a right to lodge a complaint with us at any time if you object to the way in which we have processed or managed your Personal Information. Where you are dissatisfied with our response, you also have the right to escalate your complaint to the relevant regulator in your jurisdiction. Further information can be located on the applicable regulator's website (see Schedule D).
  - Right to access your Personal Information— All individuals have a right to access their Personal Information held by an organisation. There may be a charge for some large requests where the request has been determined as excessive. Your Personal Information may be provided to you in digital form or hard copy, unless otherwise requested.
  - Right to rectify your Personal Information We take reasonable steps to ensure that the Personal Information we collect and process about you is accurate, complete and up to date. However, if you do not believe this is the case, you have the right to Document Classification: Public MUFG Pension & Market Services

request we correct your personal information where you believe it to be incorrect or incomplete. This right also applies to any personal information generated or inferred via an AI tool.

- **Right to opt out from receiving any direct marketing –** You can ask us to stop sending you marketing messages at any time. Please see further information under 10.4 to 10.6 on how you can do this.
- Additional rights are available may be available in respect of Personal Information collected and maintained in other jurisdictions. These may be outlined in Privacy statements in the website of the particular jurisdiction, or can be discussed by contacting the relevant privacy officer.
- 10.2. If you would like to exercise any of the above rights, please refer to Schedule C for the contact details for your jurisdiction.
- 10.3. In some circumstances, exercising some of these rights may result in us being unable to continue providing you with a prescribed service and/or to continue our business relationship with you. Please note that in some cases, we may not be able to uphold your request for legal or regulatory reasons. Where this occurs, we will advise you in writing why we are unable to comply with your request.

#### 11. Marketing

- 11.1. We may contact you to tell you about products, services and offers that we think may be of interest to you. When we send you this type of marketing message, we will do so because we have your consent.
- 11.2. You have the right to 'opt out' from receiving any direct marketing messages by following the unsubscribe instructions that appear in our email communications. Alternatively, please refer to Schedule C for details of relevant local contact(s) for your jurisdiction.
- 11.3. You may also receive important information and service-related communications, regardless of your marketing preferences, where we are legally permitted to send these to you.

#### 12. Cookies, pixels and tracking technology

- 12.1. We use cookies, pixels, online identifiers and similar tracking technologies on our websites, apps, and in our emails. This technology is used to improve our own products and services, your user experience, optimise what you may see on our websites and provide information when you are online. We comply with the cookie regulations as stated in the jurisdictions within which we operate.
- 12.2. Cookies There are two types of cookies that can be stored on your device:
  - "Session cookies"- these will only last for the duration of your visit to our websites and are automatically deleted from your device when you close your browser; and
  - "Persistent cookies" these will remain on your device after you have visited our websites and are not deleted when you close your browser. Persistent cookies are sent back to our server every time you visit our websites.

Users will be able to adjust their internet settings to accept all cookies, to notify them when a cookie is issued, or not to receive cookies at any time. If you use your browser settings to block all cookies (including essential cookies), you may not be able to access all or parts of our website.

- 12.3. **Pixels** We use small images called "pixels" in our emails to help us improve our communications to you and to understand how you interact with them. The use of pixels allows us to understand whether and how many times you have opened an email, the device you have used and the IP address. We may also set a cookie to determine if you clicked on any links in the email.
- 12.4. For further information about cookies, pixels and tracking technology used by MUFG Pension & Market Services, please see our <u>Cookie Policy</u>, where a full list of the cookies used by MUFG Pension & Market Services web pages, and information on how to manage or delete cookies is available.
- 12.5. Our websites may contain links to other websites that may make use of their own cookies technology and will have their own privacy policies. You should carefully review the privacy policies and practices of other websites that we do not control as we do not accept any liability for the privacy practices of such third-party websites.

#### 13. Social media

- 13.1. We use a third-party provider, LinkedIn, to manage our social media interactions. If you send us a private or direct message via social media, it will be stored by LinkedIn for the period of time your account is in existence. For example, if you send a message via social media that requires a response from us, we may process it in our contact management system as an enquiry or a complaint.
- 13.2. When contacting MUFG Pension & Market Services through a social media platform, we suggest you also familiarise yourself with the privacy policy for that platform.

#### 14. Who can you speak to about this policy?

- 14.1. If you have any questions or require further information about how we use your Personal Information, please refer to Schedule C of this Policy for the details of relevant local contact(s) for your jurisdiction.
- 14.2. If you are unhappy with how we have handled your Personal Information and wish to make a complaint, please refer to Schedule C of this Policy for details of relevant local contact(s) for your jurisdiction. You also have the right to complain to the relevant regulator in your jurisdiction, and to lodge an appeal if you are not happy with the outcome of a complaint. Please refer to Schedule D of this Policy for details.

#### **15. Changes to this policy**

15.1. Please note that this Policy will be reviewed periodically and may be changed from time to time. We provide details on this page to inform you when the Policy was last updated.

### 16. Schedule A: MUFG Pension & Market Services business unit websites by division

MUFG Corporate Markets	MUFG Retirement Solutions
MUFG Corporate Markets – global https://www.mpms.mufg.com/	MUFG Retirement Solutions – global <u>https://www.mpms.mufg.com/</u>
Germany LMS Munich: https://www.linkmarketservices.de/ Better Orange Munich: https://www.linkmarketservices.eu/ LMS Frankfurt: https://www.linkmarketservices-ffm.de/	Link Advice – Australia http://www.linkadvice.com.au/
<b>D.F. King</b> – France, Germany, Hong Kong, and United Kingdom <u>http://www.dfkingltd.com/</u>	Super Clearing House Online – Australia http://www.sch-online.com.au/Home/

#### **Schedule B: Additional Privacy Requirements**

## For EU and UK residents, in addition to those obligations outlined in Section 5 of this Policy, the following additional rights apply globally:

Under UK and European law, there must be one of the following lawful bases for us to process your Personal Information:

- **Contract** The processing of Personal Information is necessary for the performance of a contract to which you are party;
- Legitimate interest The processing of Personal Information is necessary for our legitimate interest (and when the processing of your Personal Information is based on our legitimate interest(s), we ensure that our interests do not unfairly override your rights and freedoms);
- **Consent** Where you have given us clear consent to process your Personal Information for a specific purpose;
- Legal obligation The processing of Personal Information is necessary for us to meet our legal and regulatory obligation(s); or
- **Public interest** The processing of Personal Information is necessary for reasons of public interest.

## For EU and UK residents, the following outlines how we approach transferring and processing your Personal Information internationally:

We have adopted the EU and UK GDPR to inform our global privacy and data protection standards. EU and UK residents are advised that sometimes MUFG Pension & Market Services entities or third parties who we share your Personal Information with (see Section 6 of this Policy), will process your Personal Information outside of the EU and UK.

If you are an EU or UK resident, when your Personal Information is processed outside of the EU or UK, we will ensure that appropriate safeguards are in place. We will also require that any such MUFG Pension & Market Services entity or third party outside of the EU or UK has put in place adequate safeguards to ensure that your Personal Information is held securely and in accordance with this Policy.

If we are to undertake a transfer of your Personal Information to a non-adequate third country (i.e., a country outside of the EU / EEA or UK that has not already been granted data "adequacy" status from the EU Commission or UK government), we will, in line with our legal obligations: (a) choose and implement an appropriate tool for the transfer; (b) complete a transfer impact assessment; and (c) if necessary, choose and implement Supplementary Measures, aimed at providing essentially equivalent protection to the GDPR.

If you would like further information on the safeguards, we use to share Personal Information internationally, please contact your local Privacy/Data Protection Officer. Contact details can be found in Schedule C of this Policy.

## For EU and UK residents, in addition to those rights outlined in Section 10 of this Policy, the following additional rights apply globally:

**Right to erasure** – you have the right to ask us to delete your Personal Information if our continued use is not justified. This request will be balanced against other factors, depending upon the type of

Personal Information we hold about you and why we have collected it. There may be some legal and regulatory obligations which mean we cannot comply with your request.

**Right to restrict processing** – you have the right to ask us to restrict our use of your Personal Information if one of the following applies:

- If your Personal Information is not accurate;
- If the processing of your Personal Information is unlawful and you do not want us to delete it;
- If we no longer need your Personal Information, but you want us to retain it for the establishment, exercise or defence of legal claims; or
- Whilst we verify if we can uphold your objection to us processing your Personal Information.

**Right of data portability** – you have the right to ask us to provide you with a copy of the Personal Information that you have supplied to us in a commonly used machine-readable format or to transfer your information directly to another data controller (e.g. a third-party offering services competing with ours). Once transferred, the other party will be responsible for looking after your personal information.

**Right to object** – You can object to us keeping or using your Personal Information in some situations, this includes when our lawful basis for processing is in the public interest or in our legitimate interest, or when your Personal Information is processed for direct marketing purposes (see Sections 5, 10 and 11 of this Policy).

**Right to withdraw consent** – for certain limited uses of your Personal Information, we may ask for your consent. Where we do this, you have the right to withdraw your consent at any time. If you withdraw your consent, we may not be able to provide certain products and services to you. If this is the case, we'll tell you.

**Right not to be subjected to automated-decision making** (that is, using technology solutions rather than human judgement to automatically determine outcome – e.g. assessment of credit worthiness). You have the right not to be subject to a decision based solely on automated processing, including profiling, which produces a legal or similarly significant effect on you, unless it is:

- Necessary for a contract between us and you;
- Authorised by law; or
- Based on your explicit consent.

You can object to an automated decision we have made and ask that a person reviews it. Currently, we do not make decisions about you solely based on automated processing.

In some circumstances exercising some of these rights will mean we are unable to continue providing you with your investment or maintaining a business relationship with you.

#### For Australian residents, the following additional rights apply globally:

**Personal Information** includes **Sensitive Personal Information**, which also includes the following information for individuals in Australia:

- Trade union and/or political party affiliation; and
- Criminal records.

Anonymity and pseudonymity – Individuals dealing with us have the option of being anonymous

or using a pseudonym unless:

- we are required or authorised by law or a court or a tribunal order to deal with identified individuals; or
- it is impracticable for us to deal with you if you have not identified yourself.

If we are unable to collect and process your personal information – In addition to not being able to provide certain products or services to you, the following may also occur:

- we may not be able to provide you with information that you requested;
- we may not be able to offer you employment with us; and / or
- you may be subject to additional taxation or your assets or entitlements could be transferred to ASIC, the ATO or to State revenue offices.

**Dealing with unsolicited personal information –** Generally, most information received by us is immediately and automatically recorded (i.e. most telephone calls are electronically recorded and most documents received are scanned into an electronic image.) This is necessary because we are a trusted third-party record keeper, providing technical, administrative, support and/or financial services involving day-to-day money and security asset movements, where imprecise record keeping may have significant adverse consequences.

Where it becomes apparent that a communication contains unsolicited personal information that could not otherwise lawfully be requested or used, we will make reasonable efforts to delete, destroy or de-identify the record. Where it is impracticable to do this (for example, the unsolicited information is combined with necessary information), the record will be retained, subject to the safeguards detailed in this privacy policy.

Use and Disclosure of Personal Information – We will only use or disclose your personal information for the primary purpose for which it was collected, unless we have your consent, or the secondary use is directly related to the primary purpose. This also applies to the use of personal information generated or inferred by AI.

Enquiries and Complaints – Individuals may lodge an enquiry with us directly at any time.

Complaints should be lodged with us in the first instance and will be dealt with efficiently in accordance with our established internal dispute resolution processes. If you are not satisfied with how your complaint has been managed or if we have not responded to your complaint within 30 days, you may escalate your complaint to the regulator – refer to Schedule D of this policy.

We encourage individuals wishing to make enquiries or lodge a complaint about how we handle personal information to do so using any of the following means:

- **Telephone:** + 61 1800 502 355 (free call within Australia) 9am–5pm (Sydney time), Monday to Friday (excluding public holidays),
- Email: privacy.officer.au@mpms.mufg.com
- Post: Attn: Privacy Officer MUFG Pension & Market Services Locked Bag A14 Sydney South NSW 1235

Contact details have also been included in Schedule C of this policy.

### Schedule C: MUFG Pension & Market Services Privacy / Data Protection Officer contact details

E-mail addresses:	Entities:	
dpo@cm.mpms.mufg.com	<ul> <li>MUFG Corporate Markets</li> <li>MUFG Corporate Markets (UK) Limited</li> <li>MUFG Corporate Markets Trustees (Nominees) Limited</li> <li>MUFG Corporate Markets (Ireland) Limited</li> <li>MUFG Share Plan Services Limited</li> <li>MUFG Corporate Markets Trustees (Ireland) Limited</li> <li>MUFG Corporate Markets (Isle of Man) Limited; MUFG Corporate Markets (Jersey) Limited</li> <li>MUFG Corporate Markets (Guernsey) Limited</li> <li>MUFG Corporate Markets Treasury Limited</li> <li>MUFG Corporate Markets Treasury Limited</li> <li>MUFG Corporate Markets IR Limited</li> <li>MUFG Corporate Markets IR Limited</li> <li>D F King Ltd.</li> </ul>	
	<ul> <li>MUFG Pensions</li> <li>MUFG Retirement Solutions Pension Administration (HS) Limited</li> <li>MUFG Retirement Solutions Pension Administration Limited</li> </ul>	
datenschutzbeauftragter@linkmarketservices.eu	Better Orange IR & HV AG	
dsb@linkmarketservices.de	Link Market Services GmbH	
Datenschutz@linkmarketservices.de	Link Market Services (Frankfurt) GmbH	

E-mail addresses:	Entities:	
mumbai@linkintime.co.in	MUFG Intime India Private Limited	
privacy.officer.au@mpms.mufg.com	MUFG Retirement Solutions HK Limited	
privacyofficer.nz@cm.mpms.mufg.com	MUFG Pension & Market Services (NZ) Limited	
privacy.officer.au@mpms.mufg.com	MUFG Corporate Markets (AU) Limited	
privacy.officer.au@mpms.mufg.com	<ul> <li>Australian Administration Services Pty Ltd;</li> <li>Link Super Pty Ltd; and</li> <li>The Superannuation Clearing House Pty Ltd.</li> </ul>	
For Other Data Privacy Enquiries:		
Region/Country	E-mail addresses:	
Australia	privacy.officer.au@mpms.mufg.com	
UK & Ireland	dpo@cm.mpms.mufg.com	
Germany	dsb@linkmarketservices.de	
New Zealand	privacyofficer.nz@cm.mpms.mufg.com	
India	<u>co@linkintime.co.in</u>	
Hong Kong	privacy.officer.au@mpms.mufg.com	
New Zealand	privacyofficer.nz@cm.mpms.mufg.com	

### Schedule D: Data Protection Authority per jurisdiction

Country	Authority Name	Website	Contact Details
Australia	Office of the Australian Information Commissioner (OAIC)	www.oaic.gov.au	GPO Box 5288, Sydney NSW 2001 T 1300 363 992 F +61 2 6123 5145
United Kingdom	Information Commissioner's Office	www.ico.org.uk	Wycliffe House, Water Lane Wilmslow, Cheshire SK9 5AF T +0303 123 1113 (or +44 1625 545745 if calling from overseas) F 01625 524510
Germany Munich (Bayern)	Der Bayerische Landesbeauftragte für den Datenschutz (BayLfD)	https://www.datenschutz- bayern.de/	Postfach 22 12 19, 80502 München Wagmüllerstraße 18, 80538 München Tel.: +49 89 212672-0
Germany Frankfurt (Hessen)	Der Hessische Beauftragte für Datenschutz und Informationsfreiheit (HBDI)	<u>https://datenschutz.hessen.de/</u>	https://datenschutz.hessen.de/kontakt or Gustav-Stresemann-Ring 1 65189 Wiesbaden Tel: +49 611-1408 0 E-Mail: poststelle@datenschutz.hessen.de

Country	Authority Name	Website	Contact Details
Germany Bonn	Die Bundesbauftragte für den Datenschutz und die Informationssichereit (BfDI)	https://www.bfdi.bund.de/DE/ Home/home_node.html	Graurheindorfer Str. 153 53117 Bonn Tel: +49 228 997799-0 E-Mail: poststelle@bfdi.bund.de
France	The « Commission Nationale de l'Informatique et des Libertés » or « CNIL »	https://www.cnil.fr/en/home	3 place de Fontenoy TSA 80175 75334 Paris Cedex 07 1. 01 53 73 22 22 53 73 22 00
Ireland	An Coimisinéir Cosanta Sonraí (or the Data Protection Commission)	www.dataprotection.ie	<b>Dublin:</b> 21 Fitzwilliam Square, Dublin 2, D02 RD28, Ireland <b>Regional Office:</b> Canal House, Station Road, Portarlington, R32 AP23 Co. Laois Telephone: +353 57 868 4800
Luxembourg	Commission Nationale pour la Protection des Données (CNPD)		Avenue du Rock'n'Roll, L-4361 Esch-sur-Alzette, T: +352 26 10 60 1 F: +352 26 10 60 29
Jersey	Jersey Office of the Information Commissioner	https://jerseyoic.org	2 <sup>nd</sup> Floor, 5 Castle Street, St Helier, Jersey, JE2 3BT. T +44 (0) 1534 716530
Guernsey	The Office of the Data Protection Authority	https://odpa.gg	St Martin's House, Le Bordage, St. Peter's Port, Guernsey, GY1 1BR
Isle of Man	Isle of Man Information Commissioner	https://www.inforights.im	PO Box 69, Douglas, Isle of Man, IM99 1EQ T +44 1624 693260

Country	Authority Name	Website	Contact Details
Hong Kong	The Office of the Privacy Commissioner for Personal Data	http://www.pcpd.org.hk/	12/F, Sunlight Tower, 248 Queen's Road East Wanchai, Hong Kong T +852 2827 2827 F +852 2877 7026
New Zealand	Office of the Privacy Commissioner	www.privacy.org.nz	PO Box 10- 094 Wellington 6140, New Zealand T 0800 803 909 <u>enquiries@privacy.org.nz</u>
India	The Data Protection Board of India	Contact details are pending the completion of Board constitution.	